# **IDAPA 16 – IDAHO DEPARTMENT OF HEALTH AND WELFARE**

# **Division of Public Health**

## 16.02.09 - Crisis Standards of Care for Healthcare Entities

# Who does this rule apply to?

All public health districts, medical professionals and providers, hospitals, healthcare entities, staff, patients, families, guardians, and advocates of patients, emergency medical services, long-term care facilities, and the public with interest in healthcare management due to catastrophic conditions that may overwhelm Idaho's healthcare systems.

# What is the purpose of this rule?

The purpose of this rule is to allow for the activation of crisis standards of care. Idaho crisis standards of care will be activated when a catastrophic disaster overwhelms usual health and medical capabilities and capacities, resulting in an inability of the healthcare system to provide the usual standard of care to patients.

# What is the legal authority for the agency to promulgate this rule?

This rule implements the following statute passed by the Idaho Legislature:

# Health and Safety-

- Section 56-1003 (1), Idaho Code Public Assistance and Welfare
- Section 56-1003 (2), Idaho Code Public Assistance and Welfare
- Section 56-1003 (3), Idaho Code Public Assistance and Welfare

# Where can I find information on Administrative Appeals?

There is no provision for an administrative appeal before the Department under the scope of IDAPA 16.05.03, "Contested Case Proceedings and Declaratory Rulings," for 16.02.09, "Crisis Standards of Care for Healthcare Entities."

# **How do I request public records?**

Unless exempted, all public records are subject to disclosure by the Department that will comply with Title 74, Chapter 1, Idaho Code, upon requests. Confidential information may be restricted by state or federal law, federal regulation, and IDAPA 16.05.01, "Use and Disclosure of Department Records."

# Who do I contact for more information on this rule?

Idaho Department of Health and Welfare Division of Public Health 450 West State Street Boise, ID 83702

P.O. Box 83720

Boise, ID 83720-0036 Phone: (208) 334-4926 Fax: (208) 334-6581

Email: DPHInquiries@dhw.idaho.gov

Webpage: https://healthandwelfare.idaho.gov/health-wellness/emergency-planning/emergency-preparedness

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#### 16.02.09 - CRISIS STANDARDS OF CARE FOR HEALTHCARE ENTITIES

#### 000. LEGAL AUTHORITY.

The Idaho Legislature has granted to the Director the authority to formulate and recommend to the Idaho Board of Health and Welfare rules and standards as may be necessary to address public health issues under Section 56-1003(2), Idaho Code. Rulemaking functions relating to public health are vested by the Idaho Legislature in the Idaho Board of Health and Welfare under Section 56-1003(1), Idaho Code. Under Section 56-1003(3), Idaho Code, and the rules and laws of public health, the Director possesses the authority and may exercise the general supervision of the promotion and protection of the health of Idahoans. (12-11-20)T

#### 001. TITLE, SCOPE, PURPOSE, AND APPLICABILITY.

- **01. Title.** These rules are titled IDAPA 16.02.09, "Crisis Standards of Care for Healthcare Entities." (12-11-20)T
- **O2.** Scope. These rules contain the standards and processes for the activation and deactivation of crisis standards of care for healthcare entities. Nothing in these rules prevents a healthcare entity from implementing their own resource allocation protocols or utilizing the crisis standards of care guidance documents if emergent circumstances require that the healthcare entity allocate scarce resources and these rules have not been activated.

(12-11-20)T

- **Purpose**. Crisis standards of care will be activated when a catastrophic disaster overwhelms usual health and medical capabilities and capacities, resulting in an inability of healthcare entities to provide the usual standard of care to patients. The activation of this plan triggers notification to healthcare entities and the seven (7) public health districts that crisis standards of care has been activated. (12-11-20)T
- **04. Applicability**. This chapter of rules may be applied generally throughout the state or may be limited as to times, places, circumstances, or conditions to permit variation under Section 56-1003(2), Idaho Code. (12-11-20)T

#### 002. -- 003. (RESERVED)

#### 004. GUIDANCE DOCUMENTS.

The following resources are available to facilitate the implementation of crisis standards of care and are available at <a href="https://healthandwelfare.idaho.gov/health-wellness/emergency-planning/emergency-preparedness">https://healthandwelfare.idaho.gov/health-wellness/emergency-planning/emergency-preparedness</a>. These guidance documents may be revised upon recommendation by SIDMAC, to the Director and approval of the Board.

(12-11-20)T

- 01. Any Available Checklists. (12-11-20)T
- 02. Crisis Standards of Care Guidelines. (12-11-20)T
- 03. Idaho Crisis Standards of Care. (12-11-20)T
- 04. Patient Care: Strategies for Scare Resource Situations. (12-11-20)T
- 005. -- 009. (RESERVED)

#### 010. **DEFINITIONS.**

For the purposes of this chapter of rules, the following terms apply:

(12-11-20)T

- **Board**. The Idaho Board of Health and Welfare as described in Section 56-1005, Idaho Code. (12-11-20)T
- **02.** Catastrophic Disaster. A pervasive (e.g., pandemic, influenza), catastrophic (e.g., earthquake, hurricane), or extraordinary event or circumstance that seriously disrupts the functioning of the state and causes human, material, economic, or environmental losses that exceed the state's ability to cope using its own resources.

  (12-11-20)T
  - **03.** Committee. Crisis Standards of Care Activation Advisory Committee. (12-11-20)T
  - **O4.** Crisis Standards of Care. A substantial change in the usual health care operations and the level of

# IDAHO ADMINISTRATIVE CODE Department of Health and Welfare

# IDAPA 16.02.09 Crisis Standards of Care for Healthcare Entities

care it is possible to deliver, justified by specific circumstances and formally declared by a state government in recognition that crisis operations will be in effect for a sustained period. (12-11-20)T

**O5. Department.** The Idaho Department of Health and Welfare.

(12-11-20)T

**06. Director**. The Director of the Idaho Department of Health and Welfare or their designee.

(12-11-20)T

**07. Department Senior Leadership**. The Director, Deputy Directors, Administrator and Deputy Administrator of the Division of Public Health, and the State Epidemiologist/Public Health Medical Director.

(12-11-20)T

- **08. Healthcare Entity.** This includes hospitals, public health districts, emergency medical services, long-term care facilities, medical providers, and any other healthcare entities determined by the Director.(12-11-20)T
- **09. Scarce Resource Limitation**. Limitations in space, staff, or supplies that impair the ability of healthcare entities to provide the usual standard of care. (12-11-20)T
  - **10. SIDMAC**. State of Idaho Disaster Medical Advisory Committee.

(12-11-20)T

011. -- 099. (RESERVED)

#### 100. ACTIVATION OF CRISIS STANDARDS OF CARE.

If the Department's senior leadership determines that all options for addressing resource limitations have been pursued, and critical shortages persist for which crisis standards of care activation is requested, the Director will convene the Committee. In a rapidly developing catastrophic disaster, the Director has the authority to activate crisis standards of care without convening the Committee. In such circumstances, the Director will convene the Committee as soon as reasonably possible.

(12-11-20)T

- **01. Scarce Resource Limitation**. The party or parties requesting crisis standards of care activation will present to the Committee the scarce resource limitation for which crisis standards of care activation is requested and the measures that have been taken to address the limitation, (12-11-20)T
- **Options for State and Federal Assistance**. Department staff and the Idaho Office of Emergency Management will present options for state and federal assistance that can and have been pursued, including review of any applicable checklists. (12-11-20)T
- **03. Recommendation to Activate.** If the Committee determines that all available contingency actions have been taken and no further options remain to address the resource limitations and that the scarcity is sufficient to warrant a shift from the usual standard of care to crisis standards of care, the Committee will make a recommendation to the Director to issue a declaration of crisis standards of care.

  (12-11-20)T
- **04.** Communications to Activate. Crisis standards of care will be activated by a declaration from the Director. (12-11-20)T
- 101. -- 109. (RESERVED)

#### 110. COMPOSITION OF COMMITTEE.

The Committee will be comprised of representatives from the Idaho Department of Health and Welfare, SIDMAC, Idaho Office of Emergency Management, Public Health Districts, Healthcare Entities, Board of Medicine, Idaho Hospital Association, Long-Term Care Facilities, Emergency Medical Services, and others as determined by the Director. The members of the Committee are selected and may be removed by the Director. (12-11-20)T

111. -- 119. (RESERVED)

#### 120. EFFECT OF CRISIS STANDARDS OF CARE ACTIVATION.

Upon activation of crisis standards of care, affected healthcare entities may operate under crisis standards of care and

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are recommended to utilize the guidance in the Crisis Standards of Care Plan and the accompanying Patient Care Strategies for Scarce Resource Situations and other crisis standards of care guidelines developed and recommended by SIDMAC to the Director and approved by the Board. (12-11-20)T

## 121. -- 199. (RESERVED)

#### 200. NOTIFICATION.

Once a declaration to activate or deactivate crisis standards of care has been made, the Department, the Idaho Office of Emergency Management, and the Governor's office will immediately notify through established communication channels all affected stakeholders, including healthcare entities, the media, and the public. (12-11-20)T

## 201. -- 299. (RESERVED)

#### 300. COORDINATION.

During the time period in which crisis standards of care are activated, the Department and the Idaho Office of Emergency Management will work closely with the healthcare entities that have implemented crisis standards of care to receive resource requests and continue to pursue all options for obtaining those resources as urgently as possible.

(12-11-20)T

#### 301. -- 399. (RESERVED)

#### 400. DEACTIVATION.

Crisis standards of care should be deactivated as soon as sufficient resources are obtained that allow a return to the usual standard of care. (12-11-20)T

- **01. Daily Monitoring.** The Department and the Idaho Office of Emergency Management will monitor daily the specific shortages at the healthcare entities that have implemented crisis standards of care. (12-11-20)T
- **Ongoing Resource Shortages.** The Committee may be convened by the Director to review ongoing resource shortages and the options and associated timelines for addressing the shortages. (12-11-20)T
- **03. Recommendation to Deactivate**. As soon as it is determined that resources have become available, such that crisis standards of care may no longer be warranted, the Director will convene the Committee. If it is determined by the Committee that crisis standards of care conditions no longer exist, they will make a recommendation to the Director to deactivate crisis standards of care. (12-11-20)T
- **O4.** Communications to Deactivate. The Director will deactivate the crisis standards of care by declaration. (12-11-20)T

#### **401. -- 999.** (RESERVED)

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